A. Data of Complaints Against ATSL as Debenture Trustees (DTs) for the month of April 2024

Data for the month ending April 2024

| S.No. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved | month^ | | Average Resolution time^^ (in days) | |
|-------|-------------------------------|----------------------------------------------|---------------------------------|------------------|----------|-----------------------------------|--------------------------------------|-------------------------------------|--|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | | |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |

| B. Data of complaints in respect of Non-Convertible Debt Securities dealt by ATSL as Debenture Trustees (DTs) for the month of April 2024 | | | | | | | | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------------|---------------------------------|---------------------|------------|--------------------------------|--------------------------------------|-------------------------------------------|--|--|
| | Data for the month ending April 2024 | | | | | | | | | |
| S.No. | Received from | Carried forward from previous month | Received during the month | Total Pending \$ | Resolved** | Pending at the mont | | Average Resolution time^^ (in days) | | |
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | | | |
| 1 | Directly from Investors | 0 | 1 | 0 | 1 | 0 | 0 | 1 | | |
| 2 | SEBI (SCORES) | 1 | 3 | 2 | 2 | 0 | 0 | 5 | | |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 5 | Grand Total | 1 | 4 | 2 | 3 | 0 | 0 | | | |

 $[\]hbox{**Should include complaints of previous months resolved in the current month, if any.}$

^{^^}Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

| Name of the issuer | No. of compliants | Reason for Pendency |
|-------------------------------|-------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SREI Equipment Finance Limted | 2 | 1. SCORES Complaint dated 28.04.2024 - ATSL has received complaint regarding non-receipt of redemption amount of debt securities. The required information is provided to the investor through Action Taken Report(ATR) which is filed on SCORES 2.0 portal on 02.05.2024. Awaiting action of the investor on the same. 2. SCORES Complaint dated 30.04.2024- ATSL has received complaint regarding mismanagement of interests of investors in the resolution plan of SREI. The Action Taken report to be filed on the SCORES 2.0 portal shortly. |

| | #Month – wise complaints data on half yearly basis (on aggregate basis) | | | | | | | |
|----------|-------------------------------------------------------------------------|----------------------------------------------|----------|----------|---------|--|--|--|
| SN | Month | Carried forward from previous month | Received | Resolved | Pending | | | |
| 1 | November, 2023 | 194 | 3 | 1 | 196 | | | |
| 2 | December, 2023 | 196 | 3 | 5 | 194 | | | |
| 3 | January, 2024 | 194 | 2 | 195 | 1 | | | |
| 4 | February, 2024 | 1 | 0 | 1 | 0 | | | |
| 5 | March, 2024 | 0 | 2 | 1 | 1 | | | |
| 6 | April, 2024 | 1 | 4 | 3 | 2 | | | |
| <u> </u> | Grand Total | | 14 | 206 | 2 | | | |

#The information includes complaints from A+B as above

| Trend of annual (Financial Year) disposal of complaints | | | | | | |
|---------------------------------------------------------|-------------|---------|----------|----------|---------|--|
| SN | Year | Carried | Received | Resolved | Pending | |
| 1 | 2020-21 | 155 | 199 | 90 | 264 | |
| 2 | 2021-22 | 264 | 149 | 204 | 209 | |
| 3 | 2022-23 | 209 | 16 | 32 | 193 | |
| 4 | 2023-24 | 193 | 18 | 210 | 1 | |
| 5 | 2024-25 | 1 | 4 | 3 | 2 | |
| | Grand Total | | 386 | 539 | 2 | |

[^]Should include total complaints pending as on the last day of the month, if any.